

COMMISSIONER FOR PATENTS
Alexandria, VA 22313-1450



SERIAL NO. 09/864,112

IBM DOCKET NO. AUS920010425US1

DATE: September 13, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Genty, et. al.

Serial No.: 09/864,112

Filed: May 24, 2001

Title: System and Method for Dynamically
Determining CRL Locations and
Access Methods

: Group Art Unit: 2131
: Intellectual Property Law Department
: International Business
: Machines Corporation
: Intellectual Property Law Department
: 11400 Burnet Road

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Austin, Texas 78758

Technology Center 2100

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The Commissioner of Patents
Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

This Supplemental Information Disclosure Statement is believed to be timely pursuant to 37 CFR § 1.97(b), therefore no additional fees are believed to be necessary. If, however, additional fees are deemed to be necessary, the Commissioner is hereby authorized to charge such fees to IBM's deposit account No. 09-0447.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

By Joseph T. Van Leeuwen
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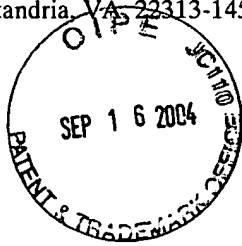
Atty Ref. No. IBM-1012

COMMISSIONER FOR PATENTS
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SERIAL NO. 09/864,112

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DATE: September 13, 2004



Sir:

Assignee Name: International Business Machines Corporation
Assignee Residence: Armonk, New York

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Transmitted herewith for filing is the Patent Application of:

Technology Center 2100

Inventors: Genty, et al.

For: System and Method for Dynamically Determining CRL Locations and Access Methods

Enclosed are:

- ☒ Supplemental Information Disclosure Statement;
- ☒ Modified form 1449 listing 4 reference(s);
- ☒ copies of any non-U.S. Patent references listed on form 1449.

37 CFR § 1.97 is satisfied by the following:

- ☒ This Supplemental IDS is being filed pursuant to CFR § 1.197(b), therefore no fee as set forth in § 1.17(p) is believed to be due. If, however, more than three months have elapsed since the filing date and a first Office action on the merits has already been mailed, then please charge my Deposit Account No. 09-0447 the fees set forth in § 1.17(p) [\$180.00]. **A duplicate copy of this sheet is enclosed.**
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. **A duplicate copy of this sheet is enclosed.**
- ☒ Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

By Joseph T. Van Leeuwen
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Certificate of Mailing Under C.F.R. §1.8(a)

I hereby certify that this correspondence was sent via First Class Mail to the United States Patent Office in an envelope with sufficient postage addressed to Commissioner for Patents, Alexandria, VA 22313-1450 on September 13, 2004.

By: Joseph T. Van Leeuwen
Joseph T. Van Leeuwen

9/13/04
Date

In Place of FORM PTO-1449 (Modified)

SEP 16 2004

Serial No. 09/864,112

Applicant: Genty, et. al.

Filing Date: 5/24/2001

Group: 2131

Atty. Docket No. AUS920010425US1

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT**

U. S. PATENT DOCUMENTS

Examiner <u>Initial</u>		Document <u>Number</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Subclass</u>	Filing Date if <u>Appropriate</u>
_____	AA	5,950,195	09/1999	Stockwell et al.	707	4	
_____	AB	5,983,350	11/1999	Minear et al.	713	201	
_____	AC	6,289,450	09/2001	Pensak et al.	713	167	
_____	AD	6,618,806	09/2003	Brown et al.	713	186	
_____	AE						
_____	AF						
_____	AG						
_____	AH						
_____	AI						
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_____	AK						
_____	AL						

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Technology Center 2100

FOREIGN PATENT DOCUMENTS

Examiner <u>Initial</u>		Document <u>Number</u>	<u>Date</u>	<u>Country</u>	<u>Class</u>	<u>Subclass</u>	Translation <u>Yes</u> <u>No</u>
_____	AM						
_____	AN						
_____	AO						
_____	AP						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner <u>Initial</u>	
_____	AQ
_____	AR
_____	AS
_____	AT
_____	AU

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.